Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

Department of the Treasury Internal Revenue Service ► Do not enter Social Security numbers on this form as it may be made public.

► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public

For calendar year 2013 or tax year beginning July 1 2013, and ending June 30 20 Name of foundation A Employer identification number New Hope Research Foundation, Inc. 87 0790903 Number and street (or P.O. box number if mail is not delivered to street address) Room/suite B Telephone number (see instructions) 6 Charley Lake Court 612-840-5881 City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here ▶ North Oaks, MN 55127-6219 **G** Check all that apply: Initial return ☐ Initial return of a former public charity **D** 1. Foreign organizations, check here . . . ▶ Amended return Final return 2. Foreign organizations meeting the 85% test, ☐ Address change □ Name change check here and attach computation · · ▶ E If private foundation status was terminated under **H** Check type of organization: ✓ Section 501(c)(3) exempt private foundation section 507(b)(1)(A), check here . . . ☐ Section 4947(a)(1) nonexempt charitable trust
☐ Other taxable private foundation Fair market value of all assets at J Accounting method: ✓ Cash ☐ Accrual **F** If the foundation is in a 60-month termination Other (specify) end of year (from Part II, col. (c), under section 507(b)(1)(B), check here line 16) ▶ \$ 817,164 (Part I, column (d) must be on cash basis.) Part I Analysis of Revenue and Expenses (The total of (d) Disbursements (a) Revenue and for charitable (b) Net investment (c) Adjusted net amounts in columns (b), (c), and (d) may not necessarily equal xpenses per books purposes (cash basis only) income income the amounts in column (a) (see instructions).) 185.635 Contributions, gifts, grants, etc., received (attach schedule) 1 2 Check ► ☐ if the foundation is **not** required to attach Sch. B 10 10 10 3 Interest on savings and temporary cash investments 12,179 12,179 12,179 4 Dividends and interest from securities -0--0-5a b Net rental income or (loss) 6a Net gain or (loss) from sale of assets not on line 10 52 547 Gross sales price for all assets on line 6a 7 Capital gain net income (from Part IV, line 2) . . . 109 223 Net short-term capital gain -0-8 -0-9 Income modifications **10a** Gross sales less returns and allowances Less: Cost of goods sold . . . Gross profit or (loss) (attach schedule) . . . -0--0-Stmt 1: 20.812 20.812 Other income (attach schedule) 11 121,412 271.183 33.001 12 **Total.** Add lines 1 through 11 . . -0--0--0--0-13 Compensation of officers, directors, trustees, etc. Operating and Administrative Expenses -n--0--0--0-14 Other employee salaries and wages 15 Pension plans, employee benefits -0--0--0--0-Stmt 2: 12,887 -0--0-12,887 16a Legal fees (attach schedule) -0--0--0--0**b** Accounting fees (attach schedule) -0--0--0--0-Other professional fees (attach schedule) . . . -0--0--0--0-17 18 Taxes (attach schedule) (see instructions) Stmt 3: 613 613 613 -0-19 Depreciation (attach schedule) and depletion . . -0--0--0-20 -n--0--0--n-4,211 -0--0-4,211 21 Travel, conferences, and meetings -0--0--0--0-22 Printing and publications 23 Other expenses (attach schedule) Stmt 4: 981 155 155 826 Total operating and administrative expenses. Add lines 13 through 23 18,692 768 768 17,924 120.148 120.148 25 Contributions, gifts, grants paid 138,840 768 768 138,072 26 Total expenses and disbursements. Add lines 24 and 25 Subtract line 26 from line 12: 132.343 Excess of revenue over expenses and disbursements **Net investment income** (if negative, enter -0-) . 120,644 32,233 **Adjusted net income** (if negative, enter -0-)

Cat. No. 11289X

_		Attached schedules and amounts in the description column	Beginning of year	End c	f year
Pa	rt II	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash-non-interest-bearing	-0-	-0-	-0-
	2	Savings and temporary cash investments	14,326	110,767	110,767
	3	Accounts receivable ► None			
		Less: allowance for doubtful accounts ▶ None	-0-	-0-	-0-
	4	Pledges receivable ► None			
		Less: allowance for doubtful accounts ► None	-0-	-0-	-0-
	5	Grants receivable	-0-	-0-	-0-
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons (attach schedule) (see instructions)	-0-	-0-	-0-
	7	Other notes and loans receivable (attach schedule) ▶ None			
		Less: allowance for doubtful accounts ► None	-0-	-0-	-0-
ß	8	Inventories for sale or use	-0-	-0-	-0-
Assets	9	Prepaid expenses and deferred charges	-0-	-0-	-0-
As	10a	Investments—U.S. and state government obligations (attach schedule)	-0-	-0-	-0-
	b	Investments – corporate stock (attach schedule)	Stmt 5: 474,162	510,063	706,397
	C	Investments – corporate bonds (attach schedule)	-0-	-0-	-0-
	11	Investments—land, buildings, and equipment: basis ► None			
		Less: accumulated depreciation (attach schedule) ► None	-0-	-0-	-0-
	12	Investments—mortgage loans	-0-	-0-	-0-
	13	Investments—other (attach schedule)	-0-	-0-	-0-
	14	Land buildings and equipment: basis None			
	•	Land, buildings, and equipment: basis ► None Less: accumulated depreciation (attach schedule) ► None	-0-	-0-	-0-
	15	Other assets (describe None)	-0-	-0-	-0-
	16	Total assets (to be completed by all filers—see the			
	. •	instructions. Also, see page 1, item l)	488,487	620,830	817,164
\dashv	17	Accounts payable and accrued expenses	-0-	-0-	, ,
	18	Grants payable	-0-	-0-	
es	19	Deferred revenue	-0-	-0-	
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons	-0-	-0-	
ap	21	Mortgages and other notes payable (attach schedule)	-0-	-0-	
=	22		-0-	-0-	
	23	Other liabilities (describe ►) Total liabilities (add lines 17 through 22)	-0-	-0-	
alances		Foundations that follow SFAS 117, check here ▶ □ and complete lines 24 through 26 and lines 30 and 31.			
a	24	Unrestricted			
	25	Temporarily restricted			
В В	26	Permanently restricted			
Net Assets or Fund		Foundations that do not follow SFAS 117, check here ▶ and complete lines 27 through 31.			
0	27	Capital stock, trust principal, or current funds	-0-	-0-	
ets	28	Paid-in or capital surplus, or land, bldg., and equipment fund	-0-	-0-	
SS	29	Retained earnings, accumulated income, endowment, or other funds	488,487	620,830	
t A	30	Total net assets or fund balances (see instructions)	488,487	620,830	
Se	31	Total liabilities and net assets/fund balances (see	400 407	600 000	
	IIII	instructions)	488,487	620,830	
	rt III	Analysis of Changes in Net Assets or Fund Balances	nn (a) lina 20 /mi =±	agroo with	
1		al net assets or fund balances at beginning of year—Part II, colur			400 407
_		-of-year figure reported on prior year's return)		<u> </u>	488,487
_		er amount from Part I, line 27a			132,343
3		er increases not included in line 2 (itemize)			-0-
		lines 1, 2, and 3			620,830
5	Deci	reases not included in line 2 (itemize) ► In net assets or fund balances at end of year (line 4 minus line 5)—F	····	5	-0-
6	rota	lpha net assets or fund balances at end of year (line 4 minus line 5)—F	arτ II, column (b), line	e 30 6	620,830

rarı	Capital Gaille and	a Losses for rax off filvestif				
		ne kind(s) of property sold (e.g., real estate use; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acqui (mo., day, yr		
1a	Medtronic Inc., common stoo	k, 2,100 shares		D	12/29/2008	3 10/17/2014
b						
С						
d						
е		(0.5)	() 0 :	<u> </u>		1) 0 : " "
	(e) Gross sales price	(f) Depreciation allowed (or allowable)		r other basis ense of sale		h) Gain or (loss) plus (f) minus (g)
а	117,712	-0-		8,489		109,223
b						
С						
d						
е	Complete only for accets she	wing gain in column (h) and owned	by the foundation	on 12/21/60		
	Complete only for assets site	(i) Adjusted basis		ss of col. (i)		is (Col. (h) gain minus out not less than -0-) or
	(i) F.M.V. as of 12/31/69	as of 12/31/69		ss of col. (i) I. (j), if any		sses (from col. (h))
а						109,223
b						· · · · · · · · · · · · · · · · · · ·
С						
d						
е						
2	Capital gain net income o		also enter in Pa , enter -0- in Pa		2	109,223
3	Net short-term capital gai	in or (loss) as defined in sections	1222(5) and (6	i):		·
), enter -0- in)		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8						
				5	3	-0-
(For o	V Qualification Und otional use by domestic pri	ler Section 4940(e) for Reduivate foundations subject to the	iced Tax on I		Income	
(For o If sect Was t	Qualification Und potional use by domestic pri ion 4940(d)(2) applies, leav the foundation liable for the	ler Section 4940(e) for Reduivate foundations subject to the see this part blank. section 4942 tax on the distribu	section 4940(a)	tax on net invest	: Income ment income	
(For o If sect Was t If "Yes	Qualification Undo ptional use by domestic pri ion 4940(d)(2) applies, leav the foundation liable for the s," the foundation does not	ler Section 4940(e) for Reduivate foundations subject to the see this part blank. section 4942 tax on the distribute qualify under section 4940(e). D	section 4940(a) table amount o	tax on net invest f any year in the let this part.	: Income ment income pase period?	.) □ Yes 🕢 No
· If sect Was t	Qualification Undo ptional use by domestic pri ion 4940(d)(2) applies, leave the foundation liable for the s," the foundation does not Enter the appropriate amo (a)	ler Section 4940(e) for Reduivate foundations subject to the set this part blank. section 4942 tax on the distribute qualify under section 4940(e). Dount in each column for each year	section 4940(a) table amount o	tax on net invest of any year in the be this part. nuctions before m	: Income ment income pase period?	.) ☐ Yes ☑ No
(For o If sect Was t If "Yes 1	Qualification Undoptional use by domestic prison 4940(d)(2) applies, leavene foundation liable for the s," the foundation does not Enter the appropriate amount of the second sec	ler Section 4940(e) for Reduivate foundations subject to the see this part blank. section 4942 tax on the distribute qualify under section 4940(e). Dount in each column for each year (b)	table amount of not complete ar; see the instr	tax on net invest f any year in the let this part.	Income ment income pase period? aking any ent	.) ☐ Yes 🗹 No
(For o If sect Was t If "Yes 1	Qualification Undo ptional use by domestic pri ion 4940(d)(2) applies, leave the foundation liable for the s," the foundation does not Enter the appropriate amo (a) Base period years	ler Section 4940(e) for Reduivate foundations subject to the see this part blank. section 4942 tax on the distribute qualify under section 4940(e). Dount in each column for each year (b) Adjusted qualifying distributions	table amount of not complete ar; see the instr	f any year in the least this part. (c) I tax on net invest (c) I noncharitable-use a	Income ment income pase period? aking any ent	.) ☐ Yes ☑ No ries. (d) Distribution ratio
(For o If sect Was t If "Yes 1	Qualification Undo ptional use by domestic pri ion 4940(d)(2) applies, leave the foundation liable for the s," the foundation does not Enter the appropriate amo (a) Base period years ndar year (or tax year beginning in	ler Section 4940(e) for Redulivate foundations subject to the set this part blank. section 4942 tax on the distribute qualify under section 4940(e). Dount in each column for each year (b) Adjusted qualifying distributions 104	table amount of not complete ar; see the instruction seed with the complete ar; see the instruction of the complete are seed at the complete are s	of any year in the least this part. Tuctions before many of noncharitable-use a	income ment income pase period? aking any ent ssets (cc 8,926 3,182	.) Yes ✓ No ries. (d) Distribution ratio bl. (b) divided by col. (c)) 0.2015 0.1359
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Part	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see i	nstru	ICTIO	ns)				
1a	Exempt operating foundations described in section 4940(d)(2), check here \(\bigcup \) and enter "N/A" on line 1.							
b	Date of ruling or determination letter: (attach copy of letter if necessary—see instructions) Domestic foundations that meet the section 4940(e) requirements in Part V, check 1		1,206	44				
	here \blacktriangleright and enter 1% of Part I, line 27b		,					
С	c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of							
	Part I, line 12, col. (b).							
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		-0-					
3	Add lines 1 and 2	,		44				
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	-0- 1,206		44				
5 6	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0		1,200	44				
а	2013 estimated tax payments and 2012 overpayment credited to 2013 6a -0-							
b	Exempt foreign organizations—tax withheld at source 6b None							
С	Tax paid with application for extension of time to file (Form 8868) . 6c -0-							
d	Backup withholding erroneously withheld							
7	Total credits and payments. Add lines 6a through 6d		-0-					
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8		-0-					
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		1,206	44				
10 11	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶ 10 Enter the amount of line 10 to be: Credited to 2014 estimated tax ▶ -0- Refunded ▶ 11		-0-					
Part \			•					
	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it		Yes	No				
	participate or intervene in any political campaign?	1a		1				
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		1				
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials							
	published or distributed by the foundation in connection with the activities.							
_	Did the foundation file Form 1120-POL for this year?	1c		~				
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ None (2) On foundation managers. ► \$ None							
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed							
	on foundation managers. ► \$ None							
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		1				
_	If "Yes," attach a detailed description of the activities.							
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3						
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		1				
	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		_				
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		~				
	If "Yes," attach the statement required by General Instruction T.							
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:							
	By language in the governing instrument, or							
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?							
7	conflict with the state law remain in the governing instrument?	6 7	•					
<i>1</i> 8а	Enter the states to which the foundation reports or with which it is registered (see instructions)	-	1					
	Minnesota							
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General							
	(or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	•					
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or							
	4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes,"							
46	complete Part XIV	9	•					
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	40	ا م ا					
	names and addresses	10	_					

Part	VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		,
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		.,
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	10	
.0	Website address ► http://www.NewHopeResearch.org/financial.html	10	•	
14	The books are in care of ▶ John G. Keimel Telephone no. ▶ 612	2-840-		
		127-6	219	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year 15		. 1	► □ N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority		Yes	No
	over a bank, securities, or other financial account in a foreign country?	16		*
	the foreign country N/A			
Part	VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
ı are	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ✓ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here			
С	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		•
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): 2013 Private Operating Foundation			•
а	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and			
	6e, Part XIII) for tax year(s) beginning before 2013?			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to			
	all years listed, answer "No" and attach statement—see instructions.)	2b		
С	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. • 20 , 20 , 20 , 20 , 20			
За	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise			
	at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or			
	disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the			
	Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of			
	the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	2h		
40	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b 4a		
4a b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its	→d		
-	charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		•

ran	Statements negarding Activities	S IOI V	VIIICII FOIIII	4/20	iviay be n	equire	u (continu c a)			
5a	During the year did the foundation pay or incur a (1) Carry on propaganda, or otherwise attempt t	•		on (sect	ion 4945(e))? .	☐ Yes 🕢 No	,		
	(2) Influence the outcome of any specific public directly or indirectly, any voter registration dr		on (see sect							
	(3) Provide a grant to an individual for travel, stu						_			
	(4) Provide a grant to an organization other than section 509(a)(1), (2), or (3), or section 4940(c	n a cha	ritable, etc.,	organiz	ation desc	ribed in				
	(5) Provide for any purpose other than religious						Yes 🗸 No	2		
	purposes, or for the prevention of cruelty to							,		
b	If any answer is "Yes" to 5a(1)–(5), did any of the									
	Regulations section 53.4945 or in a current notice Organizations relying on a current notice regardi	_	_				IN	/A 5b		
С	If the answer is "Yes" to question 5a(4), does t	-								
	because it maintained expenditure responsibility							,		
0 -	If "Yes," attach the statement required by Regula			. ,						
6a	Did the foundation, during the year, receive any on a personal benefit contract?		-	-			□Yes 🗸 No	,		
b	Did the foundation, during the year, pay premiun						_	6b		1
	If "Yes" to 6b, file Form 8870.		•	•	·					
	At any time during the tax year, was the foundation of "Yes," did the foundation receive any proceeds						Yes V No			
	Information About Officers, Directive any process.								ees.	
	and Contractors	,	,			J - ,	3 ,	, ,	,	
1	List all officers, directors, trustees, foundation				-			1		
	(a) Name and address	hou devot	e, and average irs per week ed to position	`´(lf r	mpensation not paid, ter -0-)	emplo	Contributions to byee benefit plans erred compensation	(e) Expe	nse acc allowan	
	A. Keimel	Chair / 32 hr / v			-0-		-0-			-0-
	arley Lake Court, North Oaks, MN 55127-6219	Vice Ch	nair							
	arley Lake Court, North Oaks, MN 55127-6219	0.5 hr /			-0-		-0-			-0-
	ond S. Schreyer, MD	1	Member		-0-		-0-			-0-
210 A	Nexander Drive, Linwood, NJ 08221-1544	1 hr/m	10		-0-		-0-			-0-
2	Compensation of five highest-paid employee	es (oth	er than tho	se incl	uded on li	ne 1—:	see instruction	s). If n	one.	enter
	"NONE."	•						•	,	
	(a) Name and address of each employee paid more than \$50,00	00	(b) Title, and a hours per videvoted to p	veek	(c) Comper	nsation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expe	nse acc allowan	
None										
Total	number of other employees paid over \$50,000 .						•		-0-	

3	Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONI	. "
	(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
None		., ,
T-4-	Laurahay of athere yearining area (50,000 few professional comings	-0-
TOLE	I number of others receiving over \$50,000 for professional services	-0-
Pai	t IX-A Summary of Direct Charitable Activities	
1.5	st the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of	
	ganizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	Directed Scientific Research: The New Hope Research Foundation conducted scientific research on gene vectors	
	and specific methods of gene delivery for GM2 gangliosidosis therapy. The research is being conducted in areas of	125,024
	biochemical / in-cellulo evaluation, genetic engineering, pre-clinical testing, and biomedical engineering and modeling.	
2	Intellectual Property Development: The New Hope Research Foundation developed specific technology associated with	
	delivery of gene vectors to the central nervous system for the treatment of lysosomal storage diseases. The Foundation	12,912
	generated a patent application and assessed licenses required for gene therapy use.	
3	Education and Communication for Patients and Families: Maintained a web-site describing lysosomal storage diseases	
	and the underlying mechanisms of GM2 gangliosidosis. The site explains the scientific research being explored by the	136
	New Hope Research Foundation. The web-site maintenance support activities were donated.	
4	Scientific Research Project Management: Conducted periodic reviews of the research being conducted with independent scientific experts. Support for project management and the independent scientific review was donated.	-0-
	scientific expens. Support for project management and the independent scientific review was donated.	-0-
Pai	t IX-B Summary of Program-Related Investments (see instructions)	
	escribe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	None	
		-0-
2		
Al	other program-related investments. See instructions.	
3		
Tet	1 Add lines 1 thus righ 0	
10(5	I. Add lines 1 through 3	-0-

Part	see instructions.)	ign tou	ndations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,		
	purposes:		
а	Average monthly fair market value of securities	1a	612,910
b	Average of monthly cash balances	1b	112,296
С	Fair market value of all other assets (see instructions)	1c	-0-
d	Total (add lines 1a, b, and c)	1d	725,206
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	-0-
3	Subtract line 2 from line 1d	3	725,206
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see		
	instructions)	4	10,878
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	714,328
6	Minimum investment return. Enter 5% of line 5	6	35,716
Part	XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating for and certain foreign organizations check here ▶ ☑ and do not complete this part.)	oundat	ions
1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2013 from Part VI, line 5		
b	Income tax for 2013. (This does not include the tax from Part VI.) 2b		
С	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII,		
	line 1	7	
Part	XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	138,072
b	Program-related investments—total from Part IX-B	1b	-0-
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purposes	2	-0-
3	Amounts set aside for specific charitable projects that satisfy the:		
а	Suitability test (prior IRS approval required)	3a	-0-
b	Cash distribution test (attach the required schedule)	3b	-0-
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	138,072
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.	- +	
-	Enter 1% of Part I, line 27b (see instructions)	5	1,206
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	136.866
•	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating		,
	qualifies for the section 4940(e) reduction of tax in those years.	y wnetr	iei tile louridation

Part	Undistributed income (see instruction	ons) N/A — De	<u>signated Private</u>	Operating Found	dation
1	Distributable amount for 2013 from Part XI, line 7	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
0					
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only				
ь	Total for prior years: 20,20,20				
3	Excess distributions carryover, if any, to 2013:				
a	From 2008				
b	From 2009				
C	From 2010				
d	From 2011				
е	From 2012				
f	Total of lines 3a through e				
4	Qualifying distributions for 2013 from Part XII,				
	line 4: ▶ \$				
а	Applied to 2012, but not more than line 2a.				
b	Applied to undistributed income of prior years				
	(Election required—see instructions)				
С	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2013 distributable amount				
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2013				
-	(If an amount appears in column (d), the same				
	amount must be shown in column (a).)				
6	Enter the net total of each column as indicated below:				
а	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b	Prior years' undistributed income. Subtract				
	line 4b from line 2b				
С	Enter the amount of prior years' undistributed income for which a notice of deficiency has				
	been issued, or on which the section 4942(a)				
	tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable				
	amount—see instructions				
е	Undistributed income for 2012. Subtract line				
	4a from line 2a. Taxable amount-see				
	instructions				
f	Undistributed income for 2013. Subtract lines				
	4d and 5 from line 1. This amount must be				
	distributed in 2014				
7	Amounts treated as distributions out of corpus				
	to satisfy requirements imposed by section				
	170(b)(1)(F) or 4942(g)(3) (see instructions) .				
8	Excess distributions carryover from 2008 not				
	applied on line 5 or line 7 (see instructions) .				
9	Excess distributions carryover to 2014.				
	Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9:				
а	Excess from 2009				
b	Excess from 2010				
С	Excess from 2011				
d	Excess from 2012				
е	Excess from 2013				

Part	XIV Private Operating Founda	tions (see instru	ctions and Part \	VII-A, question 9)		
1a	If the foundation has received a ruling foundation, and the ruling is effective fo				August 1	7, 2007
b	Check box to indicate whether the four	ndation is a private	operating foundat	ion described in se	ction 🕢 4942(j)(3) or 4942(j)(5)
2a	Enter the lesser of the adjusted net	Tax year		Prior 3 years		(e) Total
	income from Part I or the minimum investment return from Part X for	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
	each year listed	32,233	11,445	9,979	7,249	60,906
b	85% of line 2a	27,398	9,728	8,482	6,162	51,770
С	Qualifying distributions from Part XII, line 4 for each year listed	138,072	105,169	57,619	11,347	312,207
d	Amounts included in line 2c not used directly for active conduct of exempt activities	-0-	-0-	-0-	-0-	-0-
е	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	138,072	105,169	57,619	11,347	312,207
3	Complete 3a, b, or c for the alternative test relied upon:					
а	"Assets" alternative test - enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under					
	section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	23,811	17,297	14,106	11,442	66,656
С	"Support" alternative test—enter:					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					
Part		n (Complete th	is part only if th	ne foundation ha	ad \$5,000 or mo	ore in assets at
	any time during the year-					
1	Information Regarding Foundation	Managers:				
а	List any managers of the foundation	who have contribu	ted more than 2%	of the total contr	ibutions received	by the foundation
	before the close of any tax year (but o	only if they have co	ntributed more th	an \$5,000). (See se	ection 507(d)(2).)	
John G	6. Keimel and Ellen L. Kraft					
b	List any managers of the foundation ownership of a partnership or other en			•		rge portion of the
None						
2	Information Regarding Contribution	n, Grant, Gift, Loa	n, Scholarship, e	tc., Programs:		
	Check here ▶ ☐ if the foundation unsolicited requests for funds. If the father conditions complete items 20.	oundation makes				
	other conditions, complete items 2a,		-l C.II		Para da la labara	-1-1
John G	The name, address, and telephone nua. Keimel dey Lake Court, North Oaks, MN 55127-621		aress of the perso	on to whom applica	ations snould be a	aaressea:
b	The form in which applications should	I be submitted and	l information and ı	materials they show	uld include:	
Refere	nce the "New Hope Research Foundation -	Grant Proposal Proc	ess" at www.NewHo	peResearch.org/grar	nts	
	Any submission deadlines: proposals are now being reviewed on a perion	odic basis throughout	t the year.			

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other

Reference the "New Hope Research Foundation - Grant Proposal Process" at www.NewHopeResearch.org/grants

Part XV

3 Grants and Contributions Paid During	If recipient is an individual,			
Recipient	show any relationship to any foundation manager	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	Toolplont		
 a Paid during the year The Hospital for Sick Children 555 University Avenue, Toronto, ON 	None	PC	Gene Therapy Research	36,200
Jniversity of North Carolina Gene Therapy Center, Chapel Hill, NC 27599	None	PC	Gene Therapy Research	50,948
Queen's University at Kingston 78 Fifth Field Company Lane, Kingston, ON	None	PC	Gene Therapy Research	33,00
Total			▶ 3a	120,14
b Approved for future payment	<u> </u>		· · · · · · · · · · · · · · · · · · ·	120,110
The Hospital for Sick Children 555 University Avenue, Toronto, ON	None	NC	Gene Therapy Research	10,000
Jniversity of North Carolina Gene Therapy Center, Chapel Hill, NC 27599	None	PC	Gene Therapy Research	58,000
Queen's University at Kingston 78 Fifth Field Company Lane, Kingston, ON	None	NC	Gene Therapy Research	90,99
Total			▶ 3b	158,990

Coto	" A	an amounts unless athemaiss indicated	Unrelated by	icinace incoma	Evaluded by section	on 512 512 or 51/	
	Ū	oss amounts unless otherwise indicated.	(a) Business code	(b) Amount	(c) Exclusion code	on 512, 513, or 514 (d) Amount	(e) Related or exemp function income (See instructions.)
1		ogram service revenue:					
	a L	None				-0-	
	b						
	c d						
	e f						
	-	Entered to the control of the contro					
_	_	Fees and contracts from government agencies					
2		mbership dues and assessments			1.4	-0- 10	
3		erest on savings and temporary cash investments			14	12,179	
4		idends and interest from securities			14	12,179	
5		t rental income or (loss) from real estate:				0	
		Debt-financed property				-0-	
_		Not debt-financed property				-0- -0-	
6		t rental income or (loss) from personal property				-0-	
7		ner investment income			18		
8		n or (loss) from sales of assets other than inventory			10	52,547 -0-	
9		t income or (loss) from special events				-0-	
10		oss profit or (loss) from sales of inventory ner revenue: a Returned grant				-0-	20,812
11							20,612
	b						
	C						
	d						
40	e	atatal Add adjumana (b) (d) and (a)		-0-		64,736	20,812
		ototal. Add columns (b), (d), and (e)				· · · · · · · · · · · · · · · · · · ·	85,548
		ial. Add line 12. columns (b). (d). and (e)				13	05,540
			ne l				
(See	wor	ksheet in line 13 instructions to verify calculation		ent of Evemn	t Durnosos		
(See Pa l	wor	ksheet in line 13 instructions to verify calculation VI-B Relationship of Activities to the A	ccomplishm	-	•	A contributed in	
See Par Lin	wor rt X e No ▼	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt put	income is reporposes (other than	orted in column n by providing fun	(e) of Part XVI		
See Pal Lin	wor rt X e No	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt pur Return of unused funding under a research agreement	incomplishm income is reporposes (other that ent with the University)	orted in column n by providing fun ersity of Minnesota	(e) of Part XVI ods for such purpo a following depart	ure of the principa	l investigator.
See Pal Lin	wor rt X e No ▼	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt put	incomplishm income is reporposes (other that ent with the University)	orted in column n by providing fun ersity of Minnesota	(e) of Part XVI ods for such purpo a following depart	ure of the principa	l investigator.
See Pal Lin	wor rt X e No ▼	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt pur Return of unused funding under a research agreement	incomplishm income is reporposes (other that ent with the University)	orted in column n by providing fun ersity of Minnesota	(e) of Part XVI ods for such purpo a following depart	ure of the principa	l investigator.
See Pal Lin	wor rt X e No ▼	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt pur Return of unused funding under a research agreement	incomplishm income is reporposes (other that ent with the University)	orted in column n by providing fun ersity of Minnesota	(e) of Part XVI ods for such purpo a following depart	ure of the principa	l investigator.
See Pal Lin	wor rt X e No ▼	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt pur Return of unused funding under a research agreement	incomplishm income is reporposes (other that ent with the University)	orted in column n by providing fun ersity of Minnesota	(e) of Part XVI ods for such purpo a following depart	ure of the principa	l investigator.
See Pal Lin	wor rt X e No ▼	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt pur Return of unused funding under a research agreement	incomplishm income is reporposes (other that ent with the University)	orted in column n by providing fun ersity of Minnesota	(e) of Part XVI ods for such purpo a following depart	ure of the principa	l investigator.
See Pal Lin	wor rt X e No ▼	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt pur Return of unused funding under a research agreement	incomplishm income is reporposes (other that ent with the University)	orted in column n by providing fun ersity of Minnesota	(e) of Part XVI ods for such purpo a following depart	ure of the principa	l investigator.
See Pal Lin	wor rt X e No ▼	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt pur Return of unused funding under a research agreement	incomplishm income is reporposes (other that ent with the University)	orted in column n by providing fun ersity of Minnesota	(e) of Part XVI ods for such purpo a following depart	ure of the principa	l investigator.
See Pal Lin	wor rt X e No ▼	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt pur Return of unused funding under a research agreement	incomplishm income is reporposes (other that ent with the University)	orted in column n by providing fun ersity of Minnesota	(e) of Part XVI ods for such purpo a following depart	ure of the principa	l investigator.
See Pal Lin	wor rt X e No ▼	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt pur Return of unused funding under a research agreement	incomplishm income is reporposes (other that ent with the University)	orted in column n by providing fun ersity of Minnesota	(e) of Part XVI ods for such purpo a following depart	ure of the principa	l investigator.
See Pal Lin	wor rt X e No ▼	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt pur Return of unused funding under a research agreement	incomplishm income is reporposes (other that ent with the University)	orted in column n by providing fun ersity of Minnesota	(e) of Part XVI ods for such purpo a following depart	ure of the principa	l investigator.
See Pal Lin	wor rt X e No ▼	Relationship of Activities to the A Explain below how each activity for which accomplishment of the foundation's exempt pur Return of unused funding under a research agreement	incomplishm income is reporposes (other that ent with the University)	orted in column n by providing fun ersity of Minnesota	(e) of Part XVI ods for such purpo a following depart	ure of the principa	l investigator.
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Paid Preparer

Use Only

Firm's name ►

Firm's address ▶

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Part		Exempt O	rganizations	nsfers To and Transaction				ritabl		
1	in se			engage in any of the following section 501(c)(3) organization					Yes	No
а	Trar	nsfers from the re	porting foundation to	a noncharitable exempt or	ganization	of:				
			-		-			1a(1)		1
	(2)	Other assets .						1a(2)		1
b		er transactions:								
	(1)	Sales of assets to	a noncharitable exe	empt organization				1b(1)		4
				able exempt organization .				1b(2)		V
				er assets				1b(3)		V
								1b(4)		V
								1b(5)		V
				nip or fundraising solicitation				1b(6)		V
С				sts, other assets, or paid em				1c		•
				s," complete the following s					fair m	
				ces given by the reporting f						
				ement, show in column (d) t						
(a) Line		(b) Amount involved		haritable exempt organization		ription of transfers, tran				
N/A		N/A	N/A		N/A		<u>-</u>			
2a	le th	ne foundation dir	octly or indirectly af	filiated with, or related to, or	one or mo	re tay-eyempt or	ganizatione			
Za				other than section 501(c)(3))				7 Voo	. 🗖 1	NIO
b			e following schedule		01 111 00011	011 027		_ 165	• 🕊 ।	NO
		(a) Name of organ		(b) Type of organization	,	(c) Des	scription of relation	nehin		
N/A		(a) Name of organ		N/A		N/A	scription of relation	ыпр		
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Check if self-employed

Firm's EIN ▶

Phone no.

New Hope Research Foundation Federal Statements

87-0790903 Page 1

Statement 1: Other Income -- Form 990-PF, Part I, Line 11

Legal Fees – Patent and Licensing	(a)	(b)	(c)
	Revenue	Investment	Adjusted
	Per Books	Income	Net Income
Returned grant (partial of Univ. of MN	20,812	0	20,812
Research Agmnt paid in 2008/2009)			
Total	20812	0	20,812

Statement 2: Legal Fees -- Form 990-PF, Part I, Line 16a

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Legal Fees – Patent and Licensing	(a)	(b) (c)		(d)
	Expenses	Investment	Adjusted	Charitable
	Per Books	Income	Net Income	Purposes
Patent Application	10,603	0	0	10,603
Patent License	2,284	0	0	2,284
Total	12,887	0	0	12,887

Statement 3: Taxes -- Form 990-PF, Part I, Line18

Taxes – Related to investment	(a)	(b)	(c)	(d)
income	Expenses	Investment	Adjusted	Charitable
	Per Books	Income	Net Income	Purposes
Excise tax (Form 990-PF)	588	588	588	0
State of Minnesota	25	25	25	0
Total	613	613	613	0

Statement 4: Other Expenses -- Form 990-PF, Part I, Line 23

	(a)	(b)	(c)	(d)
	Expenses	Investment	Adjusted	Charitable
	Per Books	Income	Net Income	Purposes
Morgan Stanley annual service fee	150	150	150	0
Yahoo Web-site maintenance fees	136	0	0	136
Research scientist awards	660	0	0	660
Document preparation / delivery	10	5	5	5
Wire transfer expense	25	0	0	25
Total	981	155	155	826

Statement 5: Investments in Corporate Stocks -- Form 990-PF. Part II. Line 10b

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Corporate Stocks	(a) (b)		(c)		
	Beginning of Year	End of Year	End of Year		
	Book Value	Book Value	Fair Market Value		
Medtronic, Inc.	474,162	510,063	706,397		
Total	474,162	510,063	706,397		